## Neural-Network Models for Associative Memory Based on Multidimensional Neurons

Roseli S. Wedemann Universidade do Estado do Rio de Janeiro (UERJ) Angel R. Plastino Universidad Nacional del Noroeste de la Provincia de Buenos Aires (UNNOBA)



Statistical Mechanics for Complexity – 80th Birthday of C. Tsallis, CBPF, Rio 2023

### **Motivation**

- The Hopfield associative memory model has been used to represent an approximation of human memory functioning, and also as an artificial storage device. Neural networks (NNs) used to model associative memory consist of dissipative units (neurons).
- Units interact in a way that the network admits a global energy or Liapunov function.
- The network's global dynamics is such that the system evolves *downhill* in the energy landscape.
- In most models for associative memory, individual neurons are described as one-dimensional, dynamical systems.

- The capacity of human memory to store and retrieve information is central to many mental processes, be they normal, pathological, conscious or unconscious.
- These have been widely studied by psychiatry, psychoanalysis, neuroscience and computational science.
- In recent years, we have developed schematic simulation models based on associative memory that represent aspects of some mental processes as described by psychoanalysis: neurosis, creativity, delusions and the interaction between consciousness and unconsciousness.
- We used Hopfield-like networks and generalizations such as the Boltzmann Machine and Generalized Simulated Annealing (GSA).
- 1. M. Siddiqui, R. S. Wedemann, and H. J. Jensen, Physica A, 490, 2018.
- 2. R. S. Wedemann, R. Donangelo, and L. A. V. Carvalho, Chaos 19, 2009.
- 3. R. S. Wedemann, L. A. V. Carvalho, and R. Donangelo, Neurocomputing, 71, 2008.
- 4. C. Tsallis and D. A. Stariolo, Physica A, 233, 395-406, (1996).

- Most of the fundamental models in theoretical biology exhibit a dynamics that is dissipative.
- Important examples: Lotka-Volterra models in biological population dynamics; continuous, Hopfield NN models<sup>1</sup>; Cohen-Grossberg network models<sup>1</sup>, and various mathematical models for biological, evolutionary processes.
- All biologically inspired models supporting universal computation are nonconservative or, in the case of discrete models, nonreversible.
- They exhibit a modular structure consisting of a set of interacting units, each one characterized by an intrinsic dissipative dynamics.
- In order to represent the complexity of real biological neurons, one may need to describe them as dynamical systems with two or more dimensions. For instance, effects of time-delay lead to multidimensional dynamics.
- 1. Cohen and Grossberg, IEEE Trans. on Systems, Man, and Cybernetics, 1983 and Hopfield, Proc. Natl. Acad. Sci. **81**, 1984.

- We thus extend the structural description of associative memory NNs to more general scenarios, and formulate a family of NN models, with interacting, dissipative, multi-dimensional neurons (units), encompassing other biological models with nonconservative dynamics.
- These models generate dynamical features akin to those required when modeling associative memory.
- 1. Nakamura, Y., Torii, K., Munakata, T.: Neural-network model composed of multidimensional spin neurons. Phys. Rev. E, 51 1538-1546, (1995)
- 2. Stewart, I., Golubitsky, M.: Symmetric networks with geometric constraints as models of visual illusions. Symmetry 11(6), 799 (2019)
- Solazzi, M., Uncini, A.: Adaptive multidimensional spline neural network for digital equalization, Neural Netw. Signal Process. X. Proc. 2000 IEEE Signal Process. Soc. Workshop (Cat. No. 00TH8501) vol.2, 729–735 (2000)
- 4. Manzhos, S., Carrington, T., Ihara, M.: Orders of coupling representations as a versatile framework for machine learning from sparse data in high-dimensional spaces. Artificial Intelligence Chemistry 1(2), 100008 (2023)

# A Continuous Network with Multidimensional Neurons

We advance a continuous, associative memory, NN model with N multidimensional neurons.

- The *i*-th neuron (i = 1, ..., N) is modeled as an  $n_i$ -dimensional, dissipative, dynamical system. Different neurons may have different dimensions.
- States of a neuron, are given by an  $n_i$ -dimensional vector  $X_i$ .
- Two scalar functions  $W_i(X_i)$  and  $a_i(X_i) > 0$ , and two  $n_i$ -dimensional vector functions  $B_i(X_i)$  and  $D_i(X_i)$  are associated with each neuron. These are related to the intrinsic dynamics of i, and with the output signals through which i affects the dynamics of other neurons.
- There is an  $n_i \times n_i$  positive-definite, symmetric square matrix  $M_i(X_i)$ .
- Independent functions that characterize the dynamics of i are  $a_i$ ,  $W_i$  and  $D_i$ .
- $A^T$  denotes the transpose of a matrix A.

$$B_i = -M_i \nabla W_i, \qquad (1)$$

and

$$\left(M_i^{-1}\right)^T = \frac{\partial D_i}{\partial X_i}.$$
(2)

6

• The  $n_i \times n_i$  square matrix  $\frac{\partial D_i}{\partial X_i}$  is the Jacobian matrix associated with  $D_i(X_i)$ ,

$$\frac{\partial D_{i}}{\partial X_{i}} = \begin{bmatrix} \frac{\partial D_{i;1}}{\partial X_{i;1}} & \cdots & \frac{\partial D_{i;1}}{\partial X_{i;n_{i}}} \\ \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \\ \frac{\partial D_{i;n_{i}}}{\partial X_{i;1}} & \cdots & \frac{\partial D_{i;n_{i}}}{\partial X_{i;n_{i}}} \end{bmatrix}$$
(3)

 $X_{i;j}$  and  $D_{i;j}$  are the *j*-th components of vectors  $X_i$  and  $D_i$ .

• The positive-definite character of matrix  $M_i$  means that, for any vector  $V \in \Re^{n_i}$ , one has

$$\boldsymbol{V}^T \boldsymbol{M}_i \boldsymbol{V} > \boldsymbol{0} \,. \tag{4}$$

- In the above and similar equations, we consider V as an  $n_i$ -dimensional column vector, and  $V^T$  as a row vector.
- Interactions between the neurons are codified in an  $N \times N$  matrix array C, with elements  $C_{ik}$ , i, k = 1, ..., N. Each  $C_{ik}$ , which describes the interaction between neuron i and neuron k, is itself an  $n_i \times n_k$  rectangular matrix whose elements are real numbers. The elements of the matrices  $C_{ik}$  are constants, not depending on the neurons' states. We assume that<sup>1</sup>

$$\boldsymbol{C}_{ki} = \boldsymbol{C}_{ik}^{T}.$$
 (5)

1. See R. S. Wedemann, A.R. Plastino, C. Tsallis, Phys. Rev. E 94, 2016 for a discussion on symmetry of connections

Dynamics of a single neuron i is governed by the equations of motion

$$\frac{dX_i}{dt} = a_i B_i = -a_i M_i \nabla W_i, \qquad (6)$$

where  $\nabla$  represents the gradient,  $\nabla W_i = (\partial W_i / \partial X_{i;1}, \dots, \partial W_i / \partial X_{i;n_i})^T$ .

 $W_i$  plays the role of a potential energy (or Liapunov) function. Indeed, let us consider the time derivative of  $W_i$ ,

$$\frac{dW_i}{dt} = (\boldsymbol{\nabla} W_i)^T \cdot \frac{d\boldsymbol{X}_i}{dt} = -a_i (\boldsymbol{\nabla} W_i)^T \boldsymbol{M}_i (\boldsymbol{\nabla} W_i) < 0, \quad (7)$$

where we used the fact that  $M_i$  is a positive-definite matrix.

Therefore, an isolated neuron always evolves *downhill* in the  $W_i$ , multidimensional landscape. Vector  $dX_i/dt$  does not, in general, point in the direction opposite to  $\nabla W_i$ , but always has a negative projection on the direction of  $\nabla W_i$ . We now consider a network of  ${\cal N}$  interacting neurons, whose equations of motion are

$$\frac{d\boldsymbol{X}_i}{dt} = a_i \left[ \boldsymbol{B}_i - \sum_{k=1}^N \boldsymbol{C}_{ik} \boldsymbol{D}_k \right], \quad i = 1, \dots, N.$$
(8)

The first term within the bracket corresponds to the intrinsic dynamics of the i-th neuron, while the second term describes the effects of the other neurons on the dynamics of i.

Strengths of the interactions between neurons are given by the matrices  $C_{ik}$ .

The effects of the k on i are given by the elements of the matrix  $C_{ik}$ , and not by a single coefficient, as in a standard Hopfield neural network.

#### **Liapunov Function**

We now prove that our NN model admits a Liapunov, or energy function. Let us consider the function

$$\Omega(X_1,\ldots,X_N) = \left[\sum_{i=1}^N W_i(X_i)\right] + \frac{1}{2} \left[\sum_{i,k=1}^N D_i^T C_{ik} D_k\right].$$
(9)

 $\Omega$  is a function of the total state of the network, given by the set of vectors  $(X_1,\ldots,X_N)$ .

We compute the time derivative of  $\Omega$ ,

$$\frac{d\Omega}{dt} = \left\{ \sum_{i=1}^{N} \left( \nabla W_i \right)^T \cdot \frac{d\mathbf{X}_i}{dt} \right\} + \frac{1}{2} \sum_{i,k=1}^{N} \left[ \frac{d\mathbf{D}_i^T}{dt} \mathbf{C}_{ik} \mathbf{D}_k + \mathbf{D}_i^T \mathbf{C}_{ik} \frac{d\mathbf{D}_k}{dt} \right].$$
(10)

Substituting  $\frac{dX_i}{dt}$  by the right hand sides of the equations of motion (8), yields

$$\frac{d\Omega}{dt} = \left\{ \sum_{i=1}^{N} a_i \left( \nabla W_i \right)^T \cdot \left[ B_i - \sum_{k=1}^{N} C_{ik} D_k \right] \right\} + \frac{1}{2} \sum_{i,k=1}^{N} \left[ \frac{dD_i^T}{dt} C_{ik} D_k + D_i^T C_{ik} \frac{dD_k}{dt} \right].$$
(11)

10

Using the expression (1) for the  $B_i$ , and the symmetry property (5) of the  $C_{ik}$ ,

$$\frac{d\Omega}{dt} = -\left[\sum_{i=1}^{N} a_i B_i^T \cdot \left(M_i^{-1}\right)^T B_i\right] + \left\{\sum_{i=1}^{N} a_i B_i^T \cdot \left(M_i^{-1}\right)^T \left[\sum_{k=1}^{N} C_{ik} D_k\right]\right\} + \left[\sum_{i,k=1}^{N} \frac{dD_i^T}{dt} C_{ik} D_k\right].$$
(12)

We want to prove that the time derivative  $d\Omega/dt$  is always non-positive.

We need first to consider the time derivative of the vectors  $D_i(X_i)$ . We have

$$\frac{d\boldsymbol{D}_i}{dt} = \frac{\partial \boldsymbol{D}_i}{\partial \boldsymbol{X}_i} \frac{d\boldsymbol{X}_i}{dt} = \frac{\partial \boldsymbol{D}_i}{\partial \boldsymbol{X}_i} a_i \left[ \boldsymbol{B}_i - \sum_{j=1}^N \boldsymbol{C}_{ij} \boldsymbol{D}_j \right],$$
(13)

implying that

$$\frac{d\boldsymbol{D}_{i}^{T}}{dt} = a_{i} \left[ \boldsymbol{B}_{i}^{T} - \sum_{j=1}^{N} \boldsymbol{D}_{j}^{T} \boldsymbol{C}_{ji} \right] \left( \frac{\partial \boldsymbol{D}_{i}}{\partial \boldsymbol{X}_{i}} \right)^{T}, \qquad (14)$$

where  $\frac{\partial D_i}{\partial X_i}$  is the Jacobian matrix of the vector-valued function  $D_i(X_i)$  (eq. (3)).

Using relation (2), one obtains

$$\frac{d\boldsymbol{D}_i^T}{dt} = a_i \left[ \boldsymbol{B}_i^T - \sum_{j=1}^N \boldsymbol{D}_j^T \boldsymbol{C}_{ji} \right] M_i^{-1}.$$
(15)

Substituting  $\frac{dD_i^T}{dt}$  in (12) by the right hand side of (15), one gets

$$\frac{d\Omega}{dt} = -\left[\sum_{i=1}^{N} a_i B_i^T \cdot \left(M_i^{-1}\right)^T B_i\right] + \left[\sum_{i=1}^{N} a_i B_i^T \cdot \left(M_i^{-1}\right)^T \left(\sum_{k=1}^{N} C_{ik} D_k\right)\right] \\
+ \left[\sum_{i=1}^{N} a_i \left(\sum_{k=1}^{N} C_{ik} D_k\right)^T \left(M_i^{-1}\right)^T B_i\right] \\
- \left[\sum_{i=1}^{N} a_i \left(\sum_{k=1}^{N} C_{ik} D_k\right)^T \left(M_i^{-1}\right)^T \left(\sum_{j=1}^{N} C_{ij} D_j\right)\right].$$
(16)

It follows from (16), and that  $a_i(X_i) > 0$  and the matrices  $M_i$  are positive-definite that

$$\frac{d\Omega}{dt} = -\sum_{i=1}^{N} a_i V_i^T \left( M_i^{-i} \right)^T V_i \le 0, \qquad (17)$$

where

$$V_i = B_i - \left(\sum_{j=1}^N C_{ij} D_j\right).$$
(18)

Our network model thus exhibits a dynamics admitting an energy, Liapunov function  $\Omega$ .  $\Omega$  can be decomposed as the sum of two terms: one inherited from the gradient-like character of the units' intrinsic, dissipative dynamics, and one arising from the interactions between the neurons.

The system always evolves downhill in the energy landscape, tending to the landscape's local minima, complying with the basic behavior typical of an associative memory NN.

# Connection with the Cohen-Grossberg NN Model

The NN models proposed by Cohen and Grossberg<sup>1</sup> constitute a particular instance of the general models described by the equations of motion (8).

Consider the particular instance of the dynamical system (8), where the behavior of neurons are determined by one-dimensional, dynamical systems, *i.e.* the case where  $n_i = 1, i = 1, ..., N$ .

Then the state of each neuron is described by a single number  $x_i$ , the functions  $a_i(X_i)$ ,  $B_i(X_i)$  and  $D_i(X_i)$  become the single-variable, real-valued functions  $a_i(x_i)$ ,  $b_i(x_i)$  and  $d_i(x_i)$ , and each matrice  $C_{i,j}$  becomes a single numerical coefficient  $c_{ij}$  (the weights).

The NN's equations of motion (8) then reduce to those of the neural network model proposed by Cohen and Grossberg<sup>1</sup>, which are

$$\frac{dx_i}{dt} = a_i(x_i) \left[ b_i(x_i) - \sum_{k=1}^N c_{ik} d_k(x_k) \right], \quad i = 1, \dots, N,$$
(19)

where the functions  $a_i(x_i)$  and  $d_i(x_i)$  comply with  $a_i(x_i) > 0$  and  $d'_i(x_i) > 0$ .

1. Cohen and Grossberg, IEEE Trans. on Systems, Man, and Cybernetics, 1983 and Hopfield, Proc. Natl. Acad. Sci. **81**, 1984.

Condition (5) reduces to the requirement of symmetric weights,  $c_{ij} = c_{ji}$ . The energy (Liepungy) function is then

The energy (Liapunov) function is then

$$\Omega = \sum_{i=1}^{N} W_i(x_i) + \frac{1}{2} \sum_{i,k=1}^{N} c_{ik} d_i(x_i) d_k(x_k), \qquad (20)$$

where

$$W_i(x_i) = -\int_0^{x_i} b_i(z) d'_i(z) dz.$$
 (21)

This energy function coincides with the one derived by Cohen-Grossberg.

The equations of motion (19) can be recast in terms of the partial derivative of the Liapunov function  $\Omega$ , as

$$\frac{dx_i}{dt} = -\left[\frac{a_i(x_i)}{d'_i(x_i)}\right]\frac{\partial\Omega}{\partial x_i}.$$
(22)

These equations govern the dynamics of the network's state, which at each instant is represented by the N phase-space variables  $\{x_1, x_2, \dots, x_N\}$ .

The Hopfield model for continuous NNs constitutes a particular realization of the Cohen-Grossberg model.

When  $a_i(x_i) = -1/\tau_i$ ,  $b_i(x_i) = x_i$ , and  $d_i(x_i) = g(x_i)$ , where all the  $\tau_i$ 's are constant parameters, the equations of motion (19) become

$$\tau_i \frac{dx_i}{dt} = -x_i + \sum_{j=1}^N c_{ij} g(x_j), \qquad (23)$$

which have the same form as the equations governing the continuous Hopfield model.

### **Concluding Remarks**

- 1. We investigated an extension of the structural scheme of associative memory, NNs to more general settings, where the neurons are described by multidimensional, dissipative, dynamical systems.
- 2. We advanced a coupling scheme for dissipative, multi-dimensional units, that leads to dynamical features akin to those required when modeling associative memory.
- 3. The family of NNs that we have proposed admits an energy (Liapunov) function, such that the network always evolves downhill in the energy landscape.
- 4. Our general scheme includes, as particular instances, the continuous NNs proposed by Cohen and Grossberg, as well as the continuous version of the Hopfield model.
- 5. It would be interesting to explore the implications of our model, with respect to the tension between conservative and nonconservative dynamical models in biology.
- 6. It would also be worth to investigate possible relations between our approach to networks of multi-dimensional neurons, and intriguing recent developments on the theory of quantum mechanical NNs.

## Thank You

We acknowledge financial support from the Brazilian National Research Council (CNPq), the Rio de Janeiro State Research Foundation (FAPERJ) and the Brazilian agency which funds graduate studies (CAPES). "As Biologists come to focus more of their efforts on the brain-mind, most of them have become convinced that the mind will be to the biology of the twentyfirst century what the gene has been to the biology of the twentieth century. Thus, Francois Jacob (1998) writes, 'the century that is ending has been preocupied with nucleic acids and proteins. The next one will concentrate on memory and desire. Will it be able to answer the questions they pose?' "

Eric Kandel (Nobel prize in physiology or medicine, 2000), "Biology and the Future of psychoanalysis, a new intellectual framework for psychiatry revisited", 1999, in *Psychiatry, Psychoanalysis and the New Biology of Mind.*